

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(q))

Docket No.
128834-2In Re Application Of: **Borst et al.**Serial No.
10/638,094Filing Date
8/7/2003

Examiner

Group Art Unit

Title: **DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF**

Address to:

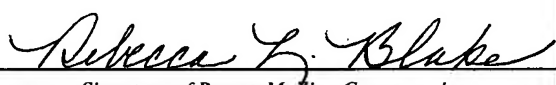

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

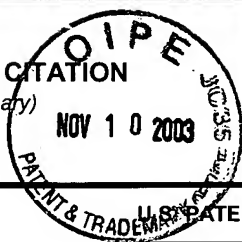
2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(q))			Docket No. 128834-2
In Re Application: Borst et al.			
Serial No. 10/638,094	Filing Date 8/7/2003	Examiner	Group Art Unit
DATA STORAGE MEDIUM AND METHOD FOR THE PREPARATION THEREOF			
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.			
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.			
Certificate of Transmission by Facsimile*		Certificate of Mailing by First Class Mail	
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____) <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div> <div style="text-align: center;">(Date)</div> <div style="border: 1px solid black; height: 40px; margin-top: 5px;"></div> <div style="text-align: center;">Signature</div> <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div> <div style="text-align: center;">Typed or Printed Name of Person Signing Certificate</div>		I certify that this document and fee is being deposited on 11/7/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. <div style="text-align: center; margin-top: 20px;">  Signature of Person Mailing Correspondence </div> <div style="text-align: center; margin-top: 5px;">Rebecca L. Blake</div> <div style="border: 1px solid black; height: 20px; margin-top: 5px;"></div> <div style="text-align: center;">Typed or Printed Name of Person Mailing Certificate</div>	
*This certificate may only be used if paying by deposit account.			
<div style="text-align: center;">  Signature </div>		Dated: November 7, 2003	
J. Michael Buchanan Registration No. 44,571 Customer No. 23413 Telephone No. 860-286-2929			
CC:			

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

128834-A

SERIAL NO.

10/638,094

Borst et al.

FILING

8/7/2003

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,999,835	9/12/1961	Goldberg			
	3,153,008	10/13/1964	Fox			
	3,169,121	2/9/1965	Goldberg			
	3,306,874	2/28/1967	Hay			
	3,306,875	2/28/1967	Hay			
	3,334,154	8/1/1967	Kim			
	3,642,946	2/15/1972	Grabowski et al.			
	3,847,867	11/12/1974	Heath et al.			
	3,850,885	11/26/1974	Takekoshi et al.			
	3,852,242	12/3/1974	White			
	3,855,178	12/17/1974	White			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			Kirk-Othmer, Encyclopedia of Chemical Technology, Second Edition, Vol. 16, pp. 272-281 (1968)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 128834-2	SERIAL NO. 10/638,094
	Borst et al.	
	FILING 8/7/2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,983,093	9/28/1976	Williams et al.			
	5,045,344	9/3/1991	E. Pinkhasov			
	4,001,184	1/4/1977	Scott			
	4,011,200	3/8/1977	Yonemitsu et al.			
	4,038,343	7/26/1977	Yonemitsu et al.			
	4,123,436	10/31/1978	Holub et al.			
	4,131,575	12/26/1978	Adelmann et al.			
	4,156,069	5/22/1979	Prevorsek et al.			
	4,210,841	7/1/1980	Vodicka et al.			
	4,363,844	12/14/1982	Lewis et al.			

FOREIGN PATENT DOCUMENTS

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					YES	NO

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 128834-1	SERIAL NO. 10/638,094
	Borst et al.	
	FILING 8/7/2003	GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,663,421	5/5/1987	Mark			
	5,034,458	7/3/1991	Serini et al.			
	5,378,284	1/3/1995	M. Geisler et al.			
	5,447,767	9/5/1995	Tanabe et al.			
	5,503,934	11/8/1995	Maas et al.			
	5,783,653	7/21/1998	Okamoto et al.			

FOREIGN PATENT DOCUMENTS

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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,849,087	12/15/1998	H. Kloberdanz et al.			
		6,110,544	12/30/1998	Yang et al.			
		6,347,016 B1	2/12/2002	Ishida et al.			
		6,355,723 B1	3/12/2002	van Baal et al.			
		6,379,757 B1	4/30/2002	Iacovangelo			
		6,436,503	8/20/2002	Cradic et al.			
		6,520,650	10/24/2002	Frazier et al.			
		US 2002/0048691 A1	4/25/2002	Davis et al.			
		US 2002/0094455 A1	7/18/2002	Feist et al.			

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US 2002/0197438 A1	12/26/2002	Hay et al.			
	US 2003/0044564 A1	3/6/2003	Dris et al.			
	10/638,099		Gallucci et al.			8/7/2003
	10/638,100		Borst et al.			8/7/2003
	10/638,145		Gallucci et al.			8/7/2003

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